

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

Buyer Name*			Account ID#				
Seller Name*			Account ID#				
If Intermediary:				A	2004		
Intermediary Name	Cantor Fitzgerald			Account ID# <u>7</u> 0	J0004		
Type of contract: Contingent Right to ☐ Forward Contra	: X□ Purchase □ :	Sell □ Swap	Premium paid for this	contract:			
	ement was reached	:	Expiration Date or Da 12/31/2004	te when the RTCs a	re to be delivered :		
→ Attach forward c	ontract or agreemer	nt for contingen	t right to purchase				
Pollutant: NOx _	X_or SOx	(Identify one po	llutant only)	(4)			
K population and the same of	ENDED OF STREET			(Attach a separate form if	more spaces are needed)		
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of	Credits		
6/30/2006	Inland	31,998	\$4	Rule No. :XX			
6/30/2007	Inland	31,998	\$4	Rule No. :XX			
6/30/2008	Inland	31,998	\$4	Rule No. :XX			
6/30/2009	Inland	31,998	\$4	Rule No. :XX			
6/30/2010	Inland	31,998 .	\$4	Rule No. :XX			
6/30/2011	Inland	31,998	\$4	Rule No. :XX			
All Years After 2011	Inland	31,998	\$4	Rule No. :XX			
/				Rule No.:			
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sale, or swap RTCs. understand that a se within five (5) busine best of my knowledg	I further understand the parate RTC Transactions days of the actual	hat this report do on Registration a RTC transfer. To	greement of a forward copes not act as or replace and associated forms are certify that the statement	the RTC Transaction for required in accordate	Registration. I also nce with Rule 2007		
Company Name & Tit	(Print nan tle: <u>Cawar</u> Fiz	sonaly Si	Date and Places form to	e: 9/25/64	Snfraesio CA Postmark		
SCAQMI	D, RECLAIM Administr	ration - RTC Tran	s form to sfers, P.O. 4830, Diamon	d Bar, CA 91765-0830	9/23/04		
Rev. 7-24-01				,	Page of pages		



Regional CLean Air Incentives Market Trading Credits (RTCs)
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#### Form 2007-4

			none company _			Account ID# 800181 Account ID# 700073_		
Interm	ediary:			Account ID#Premium paid for this contract: \$0				
ype of c	ontract:	Purchase □ S						
Forwar	d Contract							
ate wne /4/04	n this agreeme	ent was reached			ate or Date wher , 2004 initiate de	the RTCs are to be delivered :		
> Attach	forward contr	act or agreemer	t for contingent ri	ght to purchase				
ollutant	: NOx _X_	or SOx (	Identify one pollutar	nt only)				
					<i>(F</i>	ttach a separate form if more spaces are need		
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits		
1	12/31/04	Single Year Trade	Coastal, Inland, or mixture of both	60,000	\$.90/lb	Rule No.: Reg XX		
	1 1	1 1				Rule No. :		
	1 1	1 1				Rule No.:		
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transac this coll last con register nderstand ther under gistration	tion, (2) perpetual strumn "Single Year Tra mpliance year. Use ed. d that this is only erstand that this ru n and associated f are true, accurate,	pam transaction, or (3) ide. For a perpetual s separate lines for trans a report to the AQM eport does not act a orms are required in and complete to the	multiple year transaction of tream transaction, mark th actions of different RTCs, or D of the agreement of s or replace the RTC T n accordance with Rule e best of my knowledg	RTCs of same zone, q is judicially ears Aft judicially ears Aft judicially earlies or prices. Train a forward contract or ransaction Registra to 2007 within five (5) ie.	uantity, and price in a si er". For a multiple yea ansactions for all inclusi or a contingent right tion. I also underst	ear" Column is used to enter (1) single year ngle line. For a single year transaction, mark r transaction, fill in the expiration date of the ve years between these two columns will be to purchase, sale, or swap RTCs. I and that a separate RTC Transaction is actual RTC and that the		
eported E	By:Sam	antha Unger (Print na	me)	Signatu	re			
mpany l	Name & Title: Ev			ornia Environment	al	<del>o anu riace. oraroa, ne</del> w York		
						does not verify the content of this report.		
			Cubmit th	ia farm ta				
	SCAOMD D	ECI AIM Admini	-tu-ti DTC T	-f D.O. 1000	D!	CA 91765-0830 Postmark 8/5/04		
rm 2007-4	SCAQIVID, R	ECLAIN Admini	stration - RIC Irai	1sters, P.O. 4830	, Diamond Bar,	CA 91/65-0830		



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

uver Na	amet	are agreement				Account ID#	
						Account ID#	
	ediary:					Account ID#	
		antor Fitzgerald				Account ID# 700004	
ontinge	ontract: nt Right to: xE ward Contract	☐ Purchase ☐	Sell □ Swap	Premium paid	Premium paid for this contract: \$0.00/RTC		
		ent was reached		Expiration Da July 15, 2004		the RTCs are to be delivered :	
Attach	forward contr	act or agreemer	nt for contingent ri	ight to purchase			
ollutant	: NOx X	or SOx	Identify one polluta	nt only)			
- Tructurie	. 110%	or ook (	racinary one position	The country of the co	( <i>F</i>	Attach a separate form if more spaces are needed)	
T	erms of RTC Tr	ansferred	Original Zone	Maximum	Price		
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Coastal or Inland)	Quantity (Pounds/Year)	(\$/Pound)	Origin of Credits	
1	12/31/2005	Single Year Transaction	Coastal	18,500	\$3.05	Rule No. : XX	
						Rule No. : XX	
	1 1	1 1				Rule No. :	
	1 1	. 1 1				Rule No.:	
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	11	1 1				Rule No. :	
this collast corregister nderstan rther und egistration atements	tumn Single Year Trampliance year. Use red.  Indicate that this is only lerstand that this r n and associated the are true, accurate	areport to the AQN eport does not act to	stream transaction, mark to sactions of different RTCs ID of the agreement or as or replace the RTC in accordance with Ru he best of my knowled	this column "All Years Aff, quantities or prices. Tra- f a forward contract of Transaction Registra- tile 2007 within five (5 dge.	ter". For a multiple year ansactions for all inclus or a contingent righ tition. I also unders: ) bunitant also	ingle line. For a single year transaction, mark ar transaction, fill in the expiration date of the live years between these two columns will be to purchase, sale, or swap RTCs. I tand that a separate RTC Transaction	
		(Print n	ame)	Date and Bl	1/6/12	Son Francisco CV	
mnany	Name & Title	PATOR -			ace: / / ///	- For I ancion	
ompany		William ang	JI NECTOU			D does not verify the content of this report.	
ompany	D Disclaimer: This r	report will be made a	vailable to the public for	informational purpose	s only. The SCAQM	D does not verify the content of this report. $ \begin{array}{c} \text{Pos} \\ \text{Pos} \\ \text{Pos} \end{array} $	



Regional CLean Air Incentives Market Trading Credits (RTCs)
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five (5) bu	isiness days of	the agreement.				
Buyer Na	ame†					Account ID#
Seller Na	ame†	Account ID#				
If Interm Intermed	ediary: diary Name <u>Ca</u>		Account ID# 700004			
		□ Purchase □	Sell □ Swap	Premium paid	d for this contra	ct: \$0.00
		ent was reached	:	Expiration Da July 1, 2004	ate or Date when	the RTCs are to be delivered :
→ Attach	n forward contr	ract or agreemen	nt for contingent r	ight to purchase		
Pollutant	: NOx <u>X</u>	or SOx	Identify one polluta	nt only)	(A	Attach a separate form if more spaces are needed)
т	erms of RTC Tr	ansferred	Original Zone	Maximum		
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Coastal or Inland)	Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
1	12/31/2004	Single Year Transaction	Coastal	24,972	\$1.25	Rule No. : XX
						Rule No. :
	1 1	11				Rule No. :
	1 1	11				Rule No.:
	1 1	1 1				Rule No. :
	1 1	11				Rule No. :
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transac this col- last cor- registe I understan further und Registration statements	ction, (2) perpetual str lumn "Single Year Tr mpliance year. Use red.  In that this is only lerstand that this in an and associated are true, accurate	ream transaction, or (3) ade*. For a perpetual a separate lines for transaction a report to the AQM report does not act forms are required a, and complete to the separate lines are required as a complete to the separate lines are required as a separate lines are r	multiple year transaction, stream transaction, mark is sactions of different RTCs MD of the agreement of as or replace the RTC in accordance with Ruhe best of my knowled	of RTCs of same zone, q this column "All Years Aff, , quantities or prices. Tra f a forward contract of Transaction Registra tile 2007 within five (5) tige.	uantity, and price in a s ter". For a multiple yea ansactions for all inclus or a contingent right tion. I also underst ) business days of t	rear Column is used to enter (1) single year ingle line. For a single year transaction, mark ar transaction, fill in the expiration date of the ive years between these two columns will be to purchase, sale, or swap RTCs. I tand that a separate RTC Transaction the actual RTC transfer. I certify that the
		00				D does not verify the content of this report.
	0040445	DEGLAMA A	Submit	this form to	0 Di 1 D	SA SAZOF SOOD PREHIMIK 5/25/04
Form 2007-4	SCAQMD, F	RECLAIM Admin	istration - RTC Tr	ansters, P.O. 4830	u, Diamond Bar,	CA 91765-0830 Postmar K 5/25/0 4



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

## Form 2007-4

Interm	ame†					_ Account ID# Account ID#
	ediary: diary Name <u>C</u>		_Account ID# 700004			
ontinge	ontract: ent Right to: 🔯	(Purchase □ S	Sell □ Swap	Premium pa		act: \$1.629/RTC
ate whe 1/20/04	n this agreem	ent was reached	l:	Expiration D June 21, 200	ate or Date whe	n the RTCs are to be delivered :
			nt for contingent r			
ollutant	: NOx <u>X</u>	or SOx	Identify one polluta	int only)		
T	erms of RTC Tr	ansferred			(	Attach a separate form if more spaces are need
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
1	12/31/2011	All Years After	Coastal	9,196	\$6.44	Rule No. : XX
						Rule No. :
	1 1	1 1				Rule No. :
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	11	11				Rule No. :
	, ,					
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In the "F	/ / / /	/ /	xpiration date of the first o	compliance year RTCs.	The *To Compliance Y	Rule No. :
this colu- last com- registered anderstand ther under gistration tements a	/ / // // // // // // // // // // // //	ar Column, fill in the e earn transaction, or (3) do'. For a perpetual s separate lines for trans a report to the AQM eport does not act a orms are required ir and complete to the	tream transaction, mark tractions of different RTCs,	a forward contract of contract	pantity, and price in a si er". For a multiple yea insactions for all inclusi r a contingent right	
this colu- last com- registered anderstand ther under gistration tements a	/ / / From Compliance Ye ion, (2) perpetual strumn *Single Year Trappliance year. Use add. I that this is only a rstand that this re and associated for	ar Column, fill in the e earn transaction, or (3) do'. For a perpetual s separate lines for trans a report to the AQM eport does not act a orms are required ir and complete to the	tream transaction of tream transaction of tream transaction, mark it actions of different RTCs,  D of the agreement of s or replace the RTC 1 a accordance with Rul e best of my knowleds	a forward contract of constant Rules and the constant Rules of the contract of	pantity, and price in a si er". For a multiple yea insactions for all inclusi r a contingent right	Rule No.: ear' Column is used to enter (1) single year ingle line. For a single year transaction, mark transaction, fill in the expiration date of the ve years between these two columns will be to purchase, sale, or swap RTCs. I
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this colu- last com- registered inderstand ther under gistration tements a ported B	from Compliance Yee ion, (2) perpetual str imn 'Single Year Tra ppliance year. Use dd.  I that this is only a rstand that this re and associated fore true, accurate, y:	ar Column, fill in the e sam transaction, or (3) dot. For a perpetual s separate lines for trans a report to the AQM sport does not act a prims are required in and complete to the high Margolis (Print na antor Fitzgerald, I sport will be made available).	tream transaction are transaction of tream transaction, mark it actions of different RTCs,  D of the agreement of some control of the agreement of some coordance with Rule best of my knowledgeme)  Managing Director in the public for in the public	is column **All Years Ath quantities or prices. Tra a forward contract o fransaction Registrat a 2007 within five (5) ge.  Signature:  Date and Pla informational purposes	uantry, and price in a si #f. For a multiple yea nsactions for all inclusi r a contingent right ion. I also underst business days of th  Ince: _San Francis only. The SCAQME	Rule No.:  ear Column is used to enter (1) single year ngle line. For a single year transaction, mark r transaction, fill in the expiration date of the very years between these two columns will be to purchase, sale, or swap RTCs. I and that a separate RTC Transaction he actual RTC transfer. I certify that the content of this report.



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### Form 2007-4

NI						Account ID#	
•	ame†	Account ID#					
	ıme†	Account ID#					
	ediary: liary Name <u>Ca</u>	Account ID# 700004					
ntinge	ontract: nt Right to: 🔯 vard Contract	Purchase 🗆 S	ell 🗆 Swap	Premium paid for this contract: \$0.125/RTC			
		ent was reached	:		ate or Date wher 5 and March 4, 2	the RTCs are to be delivered : 2006	
Attach	forward contr	act or agreemer	nt for contingent r	ight to purchase			
llutant	NOx X	or SOx (	Identify one pollute	ant only)	(A	uttach a separate form if more spaces are needed	
Te	erms of RTC Tr	ansferred	Original Zone	Maximum			
Cycle Compliance Year(*)  From To Compliance Year(*)			(Coastal or Inland)	Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits	
2	06/30/2005	Single Year Transaction	Coastal	34,000	\$5.00	Rule No. : XX	
2	06/30/2006	Single Year Transaction	Coastal	45,000	\$5.00	Rule No. : XX	
	11	1 1				Rule No. :	
	11	1 1				Rule No. :	
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	1 1	1 1				Rule No. :	
	11	1 1				Rule No.:	
	11	11				Rule No. :	
transac this col last con register inderstan ther und gistration tements	tion, (2) perpetual str umm "Single Year Tr mpliance year. Use red. d that this is only erstand that this r n and associated are true, accurate	ream transaction, or (3) ade". For a perpetual a separate lines for trans a report to the AQN report does not act a forms are required is	multiple year transaction standard transaction, mark sections of different RTCs AD of the agreement of as or replace the RTC in accordance with Rthe best of my knowleds	of RTCs of same zone, q this column 'All Years Aff s, quantities or prices. Tra- of a forward contract of Transaction Registra- ule 2007 within five (5	uantity, and price in a s ter". For a multiple yea ansactions for all inclus or a contingent righ attion. I also unders b) business days of t	'ear' Column is used to enter (1) single year inigle line. For a single year transaction, mark in transaction, fill in the expiration date of the live years between these two columns will be at to purchase, sale, or swap RTCs. I tand that a separate RTC Transaction the actual RTC transfer. I certify that the	
			gerald, V			0-1	
			)0		, ,	D does not verify the content of this report.	
rm 2007-4 v. 12-03	SCAQMD, F	RECLAIM Admin	Submit sistration - RTC Tr	this form to ransfers, P.O. 483	0, Diamond Bar,	CA 91765-0830 Post mark 3/11/04 Page of pages	



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

	siness days of t					Account ID#
•						
	ediary:					Account ID#
termed	liary Name Na	atsource LLC				Account ID# 700066
ntinge	ontract: nt Right to: 区 ward Contract	] Purchase 🗆 Sell	☐ Swap	Premium paid	for this contrac	ct: 30,000 RTCs
te whe 7/04	n this agreeme	ent was reached:		Expiration Dat 12/15/05	e or Date when	the RTCs are to be delivered :
Attach	forward contr	act or agreement f	or contingent ri	ght to purchase		
llutant	: NOx x	or SOx (Ide	entify one pollutar	nt only)		
				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	(A	ttach a separate form if more spaces are needed)
	Terms of RTC T	ransferred	Original Zone	Maximum	D.I.	
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Coastal or Inland)	Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
	12/31/07	Single Year Trade	Either	150,000		Rule No. :XX
	1 1	11				Rule No. :
	OR					
	1 1	1 1				Rule No. :
	6/30/08	Single Year Trade	Either	150,000		Rule No. :XX
	1 1	1 1				Rule No. :
	11	11				Rule No. :
	1 1	1 1				Rule No. :
	1 1	1 1				Rule No. :
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transar this co last co registe anderstar rther und egistratio	ction, (2) perpetual st lumn "Single Year Tr impliance year. Use ared. In that this is only derstand that this is an and associated	ream transaction, or (3) mu ade*. For a perpetual stre s separate lines for transac a report to the AQMD report does not act as	ultiple year transaction of am transaction, mark the tions of different RTCs, of the agreement of or replace the RTC accordance with Ru	of RTCs of same zone, quis column "All Years After quantities or prices. Trait a forward contract of transaction Registrat le 2007 within five (5)	antity, and price in a s  r". For a multiple yeansactions for all inclus  r a contingent right  ion. I also underst	rear* Column is used to enter (1) single year single line. For a single year transaction, mark ar transaction, fill in the expiration date of the ive years between these two columns will be to purchase, sale, or swap RTCs. I tand that a separate RTC Transaction the actual RTC transfer. I certify that the
eported	By: David Oppo		e)	Signatur	e:	
ompany	Name & Title: N	atsource LLC		Date and Pla	ace: 2/2/04 New Y	/ork, NY
SCAQM	D Disclaimer: This	report will be made avai	lable to the public for	informational purposes	only. The SCAQM	D does not verify the content of this report.
			Submit	this form to		Doctmark 451
	SCAQMD, I	RECLAIM Adminis	Submit tration - RTC Tra	this form to ansfers, P.O. 4830	, Diamond Bar,	CA 91765-0830 Postmark 2/5/



Regional CLean Air Incentives Market Trading Credits (RTCs)
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#### Form 2007-4

f Interme	ame† ediary: liary Name Bo	_ Account ID# Account ID# 700071						
		Purchase ☐ Sell	□ Swap	Premium paid f	Premium paid for this contract: \$0.00			
	n this agreeme	nt was reached:		Expiration Date 30, 2004	or Date when	the RTCs are to be delivered : Apr		
		or SOx (Id				(Attach a separate form if more spaces are needed		
7	Terms of RTC Tr	ansferred	0-1-1-17			Printer a separate forms more spaces are necono		
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits		
1	12/31/2011	All years after	Coastal	1000	\$6.00	Rule No. :Reg XX		
	11	11				Rule No. :		
	11	11				Rule No. :		
	11	11				Rule No. :		
	11	11				Rule No. :		
	11	1 1				Rule No. :		
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perpetu Trade'. for trans understand nderstand ssociated f	ual stream transaction, For a perpetual stream sactions of different RT d that this is only a that this report do forms are required	or (3) multiple year transa in transaction, mark this col Cs, quantities or prices. Tr is report to the AQMD of es not act as or replace	ction of RTCs of same zon urnn 'All Years After'. For ansactions for all inclusive f the agreement of a fi e the RTC Transaction tile 2007 within five (5)	ne, quantity, and price in a s a multiple year transaction, fi years between these two col prward contract or a con a Registration. I also un	ingle line. For a single Il in the expiration date umns will be registered atingent right to pu derstand that a se	nn is used to enfer (1) single year transaction, (2) year transaction, mark this column 'Single Year of the last compliance year. Use separate lines is transaction and it can be separate lines. If urchase, sale, or swap RTCs. I further parate RTC Transaction Registration and I certify that the statements are true,		
Reported E	By: _J. Jay Bur	ack(Print nan	ne)	Signature	:_			
Company	Name & Title:	_Boldwater LP, Em	issions Broker	Date and I	Place:02/17/2	2004 Houston, Texas		
SCA	AQMD Disclaimer: T	his report will be made a	vailable to the public for	informational purposes o	nly. The SCAQMD	does not verify the content of this report.		



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eller Na	me†	Account ID#					
Interme termedi		antor Fitzgerald		Account ID# <u>700004</u>			
	ontract: nt Right to:	ŗPurchase □ S	ell 🗆 Swap	Premium paid for this contract:			
		ent was reached		Expiration Da 1/13/2005	ate or Date when	the RTCs are to be delivered :	
Attach	forward contr	ract or agreemer	nt for contingent r	ight to purchase			
ollutant:	NOx X	or SOx (	Identify one polluta	nt only)			
То	rms of RTC Tr	ansformed			(A	ttach a separate form if more spaces are nee	
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits	
1	12/31/2011	All Years After	Coastal	36,781	\$5.437489	Rule No. : XX	
	1.1	1 1				Rule No.:	
	1 1	1 1				Rule No.:	
	1 1	1 1				Rule No.:	
,	1 1	- 1 1				Rule No.:	
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	1 1	1 1				Rule No.:	
	1 1	1 1				Rule No. :	
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transact this colu- last com- registere  understand rther unde egistration atements a	ion, (2) perpetual st unn "Single Year Tr upliance year. Use ed. I that this is only erstand that this is and associated are true, accurate	ream transaction, or (3) ade". For a perpetual sespenate lines for transfare a report to the AQN report does not act forms are required its, and complete to the	multiple year transaction stream transaction, mark sactions of different RTCs ID of the agreement of as or replace the RTC n accordance with Rune best of my knowled.	of RTCs of same zone, q this column *All Years Afr, quantities or prices. Tra- f a forward contract of Transaction Registra- tile 2007 within five (5 dge.	uantity, and price in a st ter". For a multiple yea ansactions for all inclus or a contingent right tion. I also underst ) business days of t	'ear' Column is used to enter (1) single year ingle line. For a single year transaction, mark ir transaction, fill in the expiration date of the ive years between these two columns will be at to purchase, sale, or swap RTCs. It that a separate RTC Transaction the actual RTC transfer. I certify that the	
eported E	By: Rubir	1 Langdon	ame)	Signatu	re:		
		(Print n	ame)			0 1	
ompany N	Name & Title:	anter Fitz	gerald	Date and Pl	ace: 1/27/04	San Francisco, CA	
SCAQMD	Disclaimer: This	report will be made av	vailable to the public for	r informational purpose	s only. The SCAQM	D does not verify the content of this repor	



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

\*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name*		Account ID#				
Seller Name*			Account ID#			
If Intermediary: Intermediary Name	CANTOR FITZGER	ALD			Account ID# <u>700004</u>	
Type of contract:			Premi	um paid for this	contract:	
Contingent Right to:		•	\$60, 0	00		
☐ Forward Contract  Date when this agre			Expira	tion Date or Dat	te when the RTCs are to be delivered	
11/06/03	omone was roadnoa.		5/6/04	anon bato or ba		
→ Attach forward c	ontract or agreemen	t for contingen	t right t	o purchase		
Pollutant: NOx _	X_ or SOx (/	dentify one polluta	ant only)		4	
					(Attach a separate form if more spaces are needed)	
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits	
12/2005	Coastal	2,608		\$3.00	Rule No.:XX	
12/2006	Coastal	2,611		\$3.00	Rule No.:XX	
12/2007	Coastal	2,611		\$3.00	Rule No.:XX	
12/2008	Coastal	2,611		\$3.00	Rule No.:XX	
12/2009	Coastal	2,611		<b>\$3.00</b>	Rule No.:XX	
12/2010	Coastal	2,611		\$3.00	Rule No.:XX	
12/ all years		47,087		\$6.4377	Rule No.:XX	
after 2010	Coastal					
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions as days of the actual F	at this report do n Registration a	es not a nd asso	ct as or replace to ciated forms are	ntract or a contingent right to purchase, he RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the	
Reported By:Josh	Margolis (Print name		jnature:			
Company Name & Titl	e: <u>Cantor Fitzgerald, N</u>	Managing Directo	or Date	e and Place <u>San F</u>	Francisco, CA 11/11/03	

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

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Buyer Name*				Account ID#
Seller Name*_				Account ID#
If Intermediary: Intermediary Name	CANTOR FITZGER	ALD		Account ID# <u>700004</u>
Type of contract:			Premium paid for this	contract:
	: □X Purchase □ S t □ Lease Agreem	•	None	
Date when this agre	ement was reached:		<b>Expiration Date or Dat</b>	e when the RTCs are to be delivered
11/06/03			5/6/04	
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase	
Pollutant: NOx _	X_ or <b>SOx</b> (/	dentify one pollut	ant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
6/2004	Coastal	229	\$3.00	Rule No.: XX
6/2005	Coastal	616	\$3.00	Rule No.: XX
6/2006	Coastal	619	\$3.00	Rule No.: XX
6/2007	Coastal	616	\$3.00	Rule No.: XX
6/2008	Coastal	616	\$3.00	Rule No.: XX
6/2009	Coastal	616	\$3.00	Rule No.: XX
6/2010	Coastal	616	\$3.00	Rule No.: XX
6/2011	Coastal	616	\$3.00	Rule No.: XX
6/all years after 2011	Coastal	14,174	\$6.4377	Rule No.: XX
sale, or swap RTCs. I understand that a sep	l further understand th parate RTC Transactions ss days of the actual F	at this report do n Registration a	es not act as or replace the ind associated forms are	ntract or a contingent right to purchase, ne RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
Reported By: Robi	n Langdon (Print name	e)	Signature:	
Company Name & Titl	le: <u>Cantor Fitzgerald, V</u>	/ice President Submit this	<del>_</del>	
SCAQMD	, RECLAIM Administra		sfers, P.O. 4830, Diamond	Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

## Form 2007-4

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Buyer Name*		Account ID#			
Seller Name*				Account ID#	
If Intermediary: Intermediary Name	CANTOR FITZGER		Account ID# 700004		
Type of contract:			Premium paid for this	contract:	
	: □X Purchase □ S t □ Lease Agreen		None		
Date when this agre	ement was reached:		<b>Expiration Date or Dat</b>	te when the RTCs	are to be delivered
10/13/03			10/24/03		
→ Attach forward c	ontract or agreemen	nt for contingen	t right to purchase		
Pollutant: NOx _	_X_ or SOx (/	Identify one pollut	ant only)		
				(Attach a separate form	if more spaces are needed)
RTC Expiration Date (Month/Year)	Expiration Date Origination Zone Quantity		Price (\$/Pound)	Origin (	of Credits
12/2003	Coastal	3, 500	\$1.30	Rule No.: XX	
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions days of the actual F	nat this report do on Registration a	reement of a forward cor es not act as or replace the nd associated forms are ertify that the statements	he RTC Transaction required in accorda	Registration. I also ance with Rule 2007
Reported By: Robi	n Langdon (Print nam	e)	Signature:		
Company Name & Titl	e: <u>Cantor Fitzgerald, \</u>	/ice President	Date and Place <u>1</u>	0/15/03 San Francis	co, CA

Submit this form to

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name*		Account ID#					
Seller Name*_	Account ID#						
If Intermediary: Intermediary Name	CANTOR FITZGER	ALD		Account ID# 700004			
Type of contract: Contingent Right to: ☐ Forward Contract			Premium paid for this	contract:			
Date when this agre	ement was reached:		<b>Expiration Date or Da</b>	te when the RTCs are to be delivered			
9/5/03			9/5/05				
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase				
Pollutant: NOx	X_ or SOx (	dentify one pollute	ant only)	(Attach a separate form if more spaces are needed)			
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits			
12/2004	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2005	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2006	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2007	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2008	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2009	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2010	Coastal	25, 000	\$0.00	Rule No.: XX			
12/All years after 2010	Coastal	25, 000	\$0.00	Rule No.: XX			
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.							
Reported By: Robin Langdon Signature:							
Company Name & Titl	e: <u>Cantor Fitzgerald, \</u>	/ice President	Date and Place	9/11/03 San Francisco, CA			

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name*		Account ID#					
Seller Name*_	Account ID#						
If Intermediary: Intermediary Name	CANTOR FITZGER	ALD		Account ID# 700004			
Type of contract: Contingent Right to: ☐ Forward Contract			Premium paid for this	contract:			
Date when this agre	ement was reached:		<b>Expiration Date or Da</b>	te when the RTCs are to be delivered			
9/5/03			9/5/05				
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase				
Pollutant: NOx	X_ or SOx (	dentify one pollute	ant only)	(Attach a separate form if more spaces are needed)			
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits			
12/2004	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2005	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2006	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2007	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2008	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2009	Coastal	25, 000	\$0.00	Rule No.: XX			
12/2010	Coastal	25, 000	\$0.00	Rule No.: XX			
12/All years after 2010	Coastal	25, 000	\$0.00	Rule No.: XX			
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.							
Reported By: Robin Langdon Signature:							
Company Name & Titl	e: <u>Cantor Fitzgerald, \</u>	/ice President	Date and Place	9/11/03 San Francisco, CA			

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



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Buyer Name* Account ID#							
Seller Name* If Intermediary:	Account ID#						
Intermediary Name	CANTOR FITZGER	ALD		Account ID# <u>700004</u>			
Type of contract: Contingent Right to ☐ Forward Contrac		Sell □ Swap	Premium paid for this \$0.225/RTC	s contract:			
Date when this agre	ement was reached:		<b>Expiration Date or Da</b>	te when the RTCs are to be delivered			
9/5/03			9/5/05				
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase				
Pollutant: NOx _	_X_ or SOx (/	dentify one pollute	ant only)	(Attach a separate form if more spaces are needed)			
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits			
12/2004	Coastal	25, 000	\$3.40	Rule No.: XX			
12/2005	Coastal	25, 000	\$3.40	Rule No.: XX			
12/2006	Coastal	25, 000	\$3.40	Rule No.: XX			
12/2007	Coastal	25, 000	\$3.40	Rule No.: XX			
12/2008	Coastal	25, 000	\$3.40	Rule No.: XX			
12/2009	Coastal	25, 000	\$3.40	Rule No.: XX			
12/2010	Coastal	25, 000	\$3.40	Rule No.: XX			
12/All years after 2010	Coastal	25, 000	\$3.40	Rule No.: XX			
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.							
Reported By: Robi	n Langdon (Print nam	e)	Signature:				
Company Name & Titl	e: <u>Cantor Fitzgerald, \</u>	/ice President	Date and Place_	9/9/03 San Francisco, CA			

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name*				Account ID#
Seller Name* If Intermediary:	Account ID#			
Intermediary Name	CANTOR FITZGER	ALD		Account ID# <u>700004</u>
Type of contract: Contingent Right to ☐ Forward Contrac		Sell □ Swap	Premium paid for this \$0.225/RTC	s contract:
Date when this agre	ement was reached:		<b>Expiration Date or Da</b>	te when the RTCs are to be delivered
9/5/03			9/5/04	
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase	
Pollutant: NOx _	_X_ or SOx (	dentify one pollute	ant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	25, 000	\$3.40	Rule No.: XX
12/2005	Coastal	25, 000	\$3.40	Rule No.: XX
12/2006	Coastal	25, 000	\$3.40	Rule No.: XX
12/2007	Coastal	25, 000	\$3.40	Rule No.: XX
12/2008	Coastal	25, 000	\$3.40	Rule No.: XX
12/2009	Coastal	25, 000	\$3.40	Rule No.: XX
12/2010	Coastal	25, 000	\$3.40	Rule No.: XX
12/All years after 2010	Coastal	25, 000	\$3.40	Rule No.: XX
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions as days of the actual F	at this report do n Registration a	es not act as or replace and associated forms are	Intract or a contingent right to purchase, the RTC Transaction Registration. I also be required in accordance with Rule 2007 are true, accurate, and complete to the
Reported By:Robi	n Langdon (Print name	e)	Signature:	
Company Name & Titl SCAQMD Disclaim	e: Cantor Fitzgerald, Ver: This report will be made avai	/ice President lable to the public for info	Date and Placeormational purposes only. The SCA	9/9/03 San Francisco, CA AQMD does not verify the content of this report.

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Regional CLean Air Incentives Market Trading Credits (RTCs)
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Buyer Name*					Account ID#
Seller Name*					Account ID#
If Intermediary: Intermediary Name	Account ID# <u>700066</u>				
Type of contract:			Prei	mium paid for this	contract:
Contingent Right to ☐ Forward Contract	: □ X Purchase □ : t	Sell □ Swap	\$.75	/lb	
Date when this agre	eement was reached	•	Ехр	iration Date or Da	te when the RTCs are to be delivered
6/03/03			2/23	/04, 2/23/05, 2/23/0	06
→ Attach forward o	ontract or agreemer	nt for contingen	t righ	t to purchase	
Pollutant: NOx	_X_ or SOx (	Identify one pollut	ant on	- //x)	
Tonatant: Nox _	_X_ 0/ CCX (/	dentity one pondi	ant on	<i>'y'</i>	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits
12/03 or 6/04	Coastal	80,319		\$15.00/lb	Rule No.: XX
12/04 or 6/05	Coastal	84,636		\$15.00/lb	Rule No.: XX
12/05 or 6/06	Coastal	84,636		\$15.00/lb	Rule No.: XX
					Rule No.: XX
					Rule No.: XX
					Rule No.: XX
					Rule No.: XX
sale, or swap RTCs. understand that a se	I further understand the parate RTC Transactions ss days of the actual F	nat this report do on Registration a	es no and as	t act as or replace t sociated forms are	ntract or a contingent right to purchase, he RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
Reported By:	David Oppenheimer			Signature:	
. ,	(Print nam	ne)			
Company Name & Tit	le: <u>Natsource LLC, Pri</u>	ncipal Da	ite and	d Place <u>June 9, 200</u>	)3, New York, NY
SCAQMD Disclain	ner: This report will be made ava	ilable to the public for int	formatior	nal purposes only. The SCA	QMD does not verify the content of this report.

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Buyer Name* Account ID#					
Seller Name*					Account ID#
If Intermediary: Intermediary Name	CANTOR FITZGER	<u>ALD</u>			Account ID# <u>700004</u>
Type of contract:			Prer	nium paid for this	contract:
Contingent Right to: ☐ Forward Contract		Sell □ Swap	\$0.0	5/RTC	
Date when this agre	ement was reached:	1	Exp	iration Date or Dat	e when the RTCs are to be delivered
6/04/03			6/04	/04	
→ Attach forward c	ontract or agreemen	nt for contingen	t righ	t to purchase	
Pollutant: NOx	X or SOx	ldentify one polluta	ant on	lv)	
		contains one penale	um 0m	.97	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits
12/2005	Coastal	25, 000		\$5.00	Rule No.: XX
12/2006	Coastal	25, 000		\$5.00	Rule No.: XX
12/2007	Coastal	25, 000		\$5.00	Rule No.: XX
12/2008	Coastal	25, 000		\$5.00	Rule No.: XX
12/2009	Coastal	25, 000		\$5.00	Rule No.: XX
12/2010	Coastal	25, 000		\$5.00	Rule No.: XX
12/ All years after 2010	Coastal	25,000		\$5.00	Rule No.: XX
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions as days of the actual F	nat this report do on Registration a	es no ind as	t act as or replace the sociated forms are	ntract or a contingent right to purchase, ne RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
Reported By: Robin Langdon Signature:					
Company Name & Titl	e: <u>Cantor Fitzgerald, \</u>	/ice President		Date and Place 6	/4/03
SCAQMD Disclaim	er: This report will be made avai	ilable to the public for inf	ormation	nal purposes only. The SCAC	QMD does not verify the content of this report.

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Buyer Name*					Account ID#
Seller Name*					Account ID#
If Intermediary: Intermediary Name					Account ID# <u>700004</u>
Type of contract:			Prer	nium paid for this	contract:
Contingent Right to ☐ Forward Contrac	: □ X Purchase □ \$ t	Sell □ Swap	\$0.2	35/RTC	
Date when this agre	ement was reached:		Expi	iration Date or Dat	e when the RTCs are to be delivered
5/14/03			5/14	/04	
→ Attach forward c	ontract or agreemen	t for contingen	t righ	t to purchase	
	_X_ or SOx (i				
Tollutant. NOX _	_X_		ani on	iy)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits
12/2005	Coastal	25, 000		\$3.40	Rule No.: XX
12/2006	Coastal	25, 000		\$3.40	Rule No.: XX
12/2007	Coastal	25, 000		\$3.40	Rule No.: XX
12/2008	Coastal	25, 000		\$3.40	Rule No.: XX
12/2009	Coastal	25, 000		\$3.40	Rule No.: XX
12/2010	Coastal	25, 000		\$3.40	Rule No.: XX
12/ All years after 2010	Coastal	25,000		\$3.40	Rule No.: XX
sale, or swap RTCs. I understand that a sep	l further understand the parate RTC Transactions ss days of the actual F	at this report do on Registration a	es not and as	t act as or replace th sociated forms are	ntract or a contingent right to purchase, ne RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
Reported By:	Robin Langdon (Print nam	e)	Si	gnature:	
Company Name & Tit	le: <u>Cantor Fitzgerald, \</u>	/ice President		Date and Place 5	/15/03 San Francisco, CA
SCAQMD Disclaim	er: This report will be made avai	lable to the public for inf	formation	al purposes only. The SCAC	QMD does not verify the content of this report.

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· (-,				
Buyer Name*				Account ID#
				Account ID#
If Intermediary: Intermediary Name	CANTOR FITZGER	ALD		Account ID# <u>700004</u>
Type of contract: Contingent Right to ☐ Forward Contrac	: □ X Purchase □ \$ t	Sell □ Swap	Premium paid for this	s contract:
Date when this agre	ement was reached:		Expiration Date or Da	ate when the RTCs are to be delivered
2/10/03			5/31/03	
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase	
Pollutant: NOx	_X_ or SOx (/	dentify one pollute	ant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2006	Coastal	30,000	\$3.00	Rule No.: XX
12/2007	Coastal	30,000	\$3.00	Rule No.: XX
12/2008	Coastal	30,000	\$3.00	Rule No.: XX
12/2009	Coastal	30,000	\$3.00	Rule No.: XX
12/2010	Coastal	30,000	\$3.00	Rule No.: XX
12/All years after 2010	Coastal	30,000	\$3.00	Rule No.: XX
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions days of the actual F	at this report do n Registration a	es not act as or replace and associated forms are	ontract or a contingent right to purchase, the RTC Transaction Registration. I also e required in accordance with Rule 2007 as are true, accurate, and complete to the
Reported By:	Robin Langdon (Print name	e)	Signature:	
Company Name & Titl	e: Cantor Fitzgerald, \	/ice President	Date and Place_	2/13/03 San Francisco, CA
SCAQMD Disclaim	er: This report will be made avai	lable to the public for inf	formational purposes only. The SC	AQMD does not verify the content of this report.

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

\*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

RTC Expiration Date (Month/Year)	Buyer Name* Fonta	<u>na Paper Mills, Inc.</u>				Account ID# <u>11716</u>		
Type of contract:  Contingent Right to: □ Purchase □ X Sell □ Swap □ Forward Contract  Date when this agreement was reached:  Date when this agreement for contingent right to purchase  Pollutant: NOx _ X_ or SOx (Identify one pollutant only)  Cattach a separate form if more spaces are  RTC						Account ID# <u>700059</u>		
Contingent Right to: □ Purchase □ X Sell □ Swap □ Forward Contract  Date when this agreement was reached:  02/10/2003  ⇒ Attach forward contract or agreement for contingent right to purchase  Pollutant: NOx _ X_ or SOx (Identify one pollutant only)  RTC	Intermediary Name					Account ID#		
□ Forward Contract  Date when this agreement was reached:  Date when this agreement to contingent right to purchase  Pollutant: NOx _X_ or SOx (Identify one pollutant only)  Altach a separate form if more spaces are  RTC	<i>-</i> .			Pren	nium paid for this	contract:		
O2/10/2003  → Attach forward contract or agreement for contingent right to purchase  Pollutant: NOx _X_ or SOx (Identify one pollutant only)    RTC	•		ell □ Swap	\$ 0.0	0			
→ Attach forward contract or agreement for contingent right to purchase  Pollutant: NOx _X_ or SOx (Identify one pollutant only)  RTC RTC Origination Zone (Coastal or Inland)  RTC Origination Zone (Coastal or Inland)  RTC Origination Zone (Coastal or Inland)  RTC Origination Zone (S/Pound)  RTC Origination Zone (S/Pound)  RTC Origination Zone (S/Pound)  REPTICE ORIGINATION ORIGINATI	Date when this agre	ement was reached:		Expi	ration Date or Dat	e when the RTCs are to be delivered		
Pollutant: NOx _X_ or SOx (Identify one pollutant only)    RTC	02/10/2003			02/22	2/2003			
RTC RTC Origination Zone (Month/Year)	→ Attach forward c	ontract or agreemen	t for contingent	t right	to purchase			
Expiration Date (Month/Year) Origination Zone (Coastal or Inland) (Pounds) Price (\$/Pound) Origin of Credits  6/2003 Inland 18,200 1.25 Rule No.XX  I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to pure sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete best of my knowledge.  Reported By:	Pollutant: NOx	X_ or <b>SO</b> x (l	dentify one polluta	ant onl	y)	(Attach a separate form if more spaces are needed)		
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to pure sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete best of my knowledge.  Reported By:	<b>Expiration Date</b>	Origination Zone	Quantity			Origin of Credits		
sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete best of my knowledge.  Reported By:	6/2003	Inland	18,200		1.25	Rule No.XX		
sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete best of my knowledge.  Reported By:								
sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete best of my knowledge.  Reported By:						+		
	I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.							
	Reported By:Dare		e)	-	Signature:			
Company Name & Title: <u>DJJW Holding Corporation</u> , <u>President</u> Date and Place <u>2/12/03</u>	Company Name & Titl	e: DJJW Holding Corp	oration, Presider	nt	_ Date and Place	<u>2/12/03</u>		
	SCAQMD Disclaim	er: This report will be made avail	lable to the public for info	ormationa	al purposes only. The SCA	ΩMD does not verify the content of this report.		
	SCAQMD Disclaim	er: This report will be made avail	lable to the public for info	ormationa	al purposes only. The SCA	ΩMD does not verify the content of this report.		
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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

\*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name*					Account ID#		
Seller Name*		Account ID#					
If Intermediary: Intermediary Name	CANTOR FITZGER	ALD			Account ID# <u>700004</u>		
Type of contract: Premium paid for this contract:							
Contingent Right to: ☐ Forward Contract	t	- '	\$ 0.00				
Date when this agre	ement was reached:	;	Expiration Date	or Date	when the RTCs are to be delivered		
2/10/03			2/11/03				
→ Attach forward c	ontract or agreemen	t for contingent	right to purcha	se			
Pollutant: NOx _	X_ or SOx (I	dentify one pollutar	nt only)				
					(Attach a separate form if more spaces are needed)		
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Pric (\$/Pou		Origin of Credits		
6/2003	Inland	20,000	\$1.2	25	Rule No.: Reg XX		
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.							
Reported By:	Robin Langdon (Print name	e)	Signature:				
Company Name & Titl	e: Cantor Fitzgerald, \	/ice President	Date and F	Place <u>Sa</u>	n Francisco, CA 2/11/03		
SCAQMD Disclaim	er: This report will be made avai	lable to the public for infor	mational purposes only.	The SCAQN	ID does not verify the content of this report.		

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

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Buyer Name*					Account ID#
Seller Name* If Intermediary:					Account ID#
Intermediary Name	Natsource LLC				Account ID# <u>700066</u>
Type of contract: Contingent Right to ☐ Forward Contrac	t	·	\$ 0	mium paid for this o	
Date when this agre	ement was reached	:	Ехр	iration Date or Date	when the RTCs are to be delivered
1/16/03			Feb	ruary 26 <sup>th</sup> , 2003	
→ Attach forward c	ontract or agreemer	nt for contingen	t righ	t to purchase	
Pollutant: NOx _	_X_ or SOx (	ldentify one pollut	ant on	nly)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits
12/02	Either	Up to 300,0	000	\$1.00/lb	Rule No.: Reg XX
6/03	Inland	Up to 181,8	18	\$1.65/lb	Rule No.: Reg XX
**Fixed ratio of 1.65 cycle 1 RTC per each cycle 2 NOx RTC**					
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions days of the actual F	nat this report do on Registration a	es no ind as	t act as or replace the sociated forms are r	tract or a contingent right to purchase, e RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
Reported By:	David Oppenheimer (Print nam	e)		Signature:	
Company Name & Titl	e: Natsource LLC, Pri	ncipal Da	te and	d Place <u>New York, N</u>	Y 1-22-03
SCAQMD Disclaim	er: This report will be made ava	ilable to the public for int	formation	nal purposes only. The SCAQI	MD does not verify the content of this report.

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name*					Account ID#
Seller Name*					Account ID#
If Intermediary: Intermediary Name	Natsource LLC				Account ID# <u>700066</u>
Type of contract:			Prei	mium paid for this o	contract:
Contingent Right to ☐ Forward Contrac		II □X Swap	\$.25	i/lb	
Date when this agre	ement was reached:		Exp	iration Date or Date	when the RTCs are to be delivered
1/10/03			Feb	ruary 26 <sup>th</sup> , 2003	
→ Attach forward c	ontract or agreemen	t for contingen	t righ	t to purchase	
Pollutant: NOx _	_ or SOxX_	(Identify one poll	utant (	only)	(Attach a separate form if more spaces are needed)
					(Autour a separate form it more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits
12/02	Either	Up to 25,00	)0	4.00	Rule No.: Reg XX
6/03	Coastal	Up to 25,00	00	4.00	Rule No.: Reg XX
**Fixed ratio of					
1 cycle 1 RTC					
per 1 cycle 2					
SOx RTC**					
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions as days of the actual F	at this report do on Registration a	es no ind as	t act as or replace the sociated forms are r	ract or a contingent right to purchase, e RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
				0.	
Reported By:	David Oppenheimer (Print nam	e)		Signature:	
Company Name & Title SCAQMD Disclaim	e: Natsource LLC, Pri	ncipal Da	ormation		Y 1-14-03 MD does not verify the content of this report.

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

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Buyer Name*		Account ID#						
Seller Name* If Intermediary:		Account ID#						
Intermediary Name	CANTOR FITZGER	Account ID# <u>700004</u>						
Type of contract:				Premium paid for this contract:				
Contingent Right to: ☐ Purchase ☐ Sell ☐ Swap  X Forward Contract				\$0.00				
Date when this agreement was reached:				Expiration Date or Date when the RTCs are to be delivered				
12/19/02				1/15/03				
→ Attach forward contract or agreement for contingent right to purchase								
Pollutant: NOxXor SOx (Identify one pollutant only)								
					(Attach a separate form if more spaces are needed)			
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits			
12/2004	Coastal	7,102		\$2.75	Rule No.: Reg XX			
12/2005	Coastal	7,102		\$2.75	Rule No.: Reg XX			
12/2006	Coastal	7,102		\$2.75	Rule No.: Reg XX			
12/2007	Coastal	7,102		\$2.75	Rule No.: Reg XX			
12/2008	Coastal	7,102		\$2.75	Rule No.: Reg XX			
12/2009	Coastal	7,102		\$2.75	Rule No.: Reg XX			
12/2010	Coastal	7,102		\$2.75	Rule No.: Reg XX			
12/All Years After 2010	Coastal	7,102		\$2.75	Rule No.: Reg XX			
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions as days of the actual F	at this report do on Registration a	es not and as	act as or replace the sociated forms are	tract or a contingent right to purchase, le RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the			
Reported By:				gnature:				
	(Print nam	e)						
Company Name & Titl	e: Cantor Fitzgerald, \ er: This report will be made avail	/ice President	formation	Date and Place S	an Francisco, CA 12/23/02			

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name*		Account ID#					
Seller Name*_	Account ID#						
If Intermediary: Intermediary Name	CANTOR FITZGERA	Account ID# 700004					
Type of contract:			Premium paid for this contract:				
Contingent Right to:		II □ Swap	\$0.00				
Date when this agre	ement was reached:		Expiration Date or Date when the RTCs are to be delivered				
12/13/02			1/15/03				
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase				
Pollutant: NOxXor SOx (Identify one pollutant only)  (Attach a separate form if more spaces are needed)							
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits			
12/2004	Coastal	93	\$3.25	Rule No.: Reg XX			
12/2005	Coastal	93	\$3.25	Rule No.: Reg XX			
12/2006	Coastal	93	\$3.25	Rule No.: Reg XX			
12/2007	Coastal	93	\$3.25	Rule No.: Reg XX			
12/2008	Coastal	93	\$3.25	Rule No.: Reg XX			
12/2009	Coastal	93	\$3.25	Rule No.: Reg XX			
12/2010	Coastal	93	\$3.25	Rule No.: Reg XX			
12/All Years After 2010	Coastal	93	\$3.25	Rule No.: Reg XX			
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transactions as days of the actual F	at this report do on Registration a	es not act as or repla ind associated forms	contract or a contingent right to purchase ce the RTC Transaction Registration. I also are required in accordance with Rule 2007 ents are true, accurate, and complete to the			
Reported By: Robin I	_angdon (Print nam	e)	Signature:				
Company Name & Titl	e:Cantor Fitzgerald, V	ice President	Date and Place	San Francisco, CA 12/18/02			

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